



GP.2183
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Our file: 8738/1
Applicant: Marc Schneiderman
Serial No.: 09/838,806
Filing Date: 04/20/01
Title: Method and Apparatus for Providing Parallel Execution of Computing Tasks in Heterogeneous Computing Environments Using Autonomous Mobile Agents

Office:
Examiner:

RECEIVED
AUG 10 2001
Technology Center 2100

Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

1. Transmittal of Information Disclosure Statement
2. Information Disclosure Statement
3. Form PTO-1449
4. Copies of references from Form PTO-1499
5. Transmittal Sheet
6. Postcard Receipt

CONDITIONAL PETITION

If any extension of time is required for the submission of the above-identified items, Applicant requests that this be considered a petition therefor. Please charge any additional charges or any other charges relating to this matter to the deposit account of the writer, **Account No. 06-2143**. A duplicate copy of this letter is enclosed.

8/6/01
Date

Respectfully submitted,

Michael R. Friscia
Registration No. 33,884
Wolff & Samson
5 Becker Farm Road
Roseland, New Jersey 07068-1776
Tel: (973) 533-6599
Fax: (973) 436-4499

enc.

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage prepaid, to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 8/6/01.

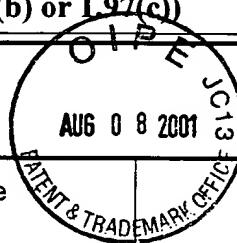
By: Michael R. Friscia

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

8738/1

In Re Application Of: Marc Schneiderman



Serial No.
09/838,806

Filing Date
04/20/01

Examiner

Group Art Unit
2183

Title: Method and Apparatus for Providing Parallel Execution of Computing Tasks in Heterogeneous Computing Environments Using Autonomous Mobile Agents

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
8738/1

In Re Application Of: **Marc Schneiderman**

Serial No.
09/838,806

Filing Date
04/20/01

Examiner

Group Art Unit
2183

Title: Method and Apparatus for Providing Parallel Execution of Computing Tasks in Heterogeneous Computing Environments Using Autonomous Mobile Agents

RECEIVED
AUG 10 2001
Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of _____.
 - Credit any overpayment.
 - Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Signature
Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 8/6/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature
Signature of Person Mailing Correspondence

Michael R. Friscia

Signature
Typed or Printed Name of Person Mailing Correspondence

Dated: 8/6/01

Michael R. Friscia
Registration No. 33,884
Wolff & Samson
5 Becker Farm Road
Roseland, NJ 07068-1776
Tel: (973) 533-6599
Fax: (973) 436-4499

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Marc Schneiderman

Filed: 04/20/01

Serial No.: 09/838,806

For: Method and Apparatus for Providing Parallel Execution of Computing Tasks in Heterogeneous Computing Environments Using Autonomous Mobile Agents



Docket No. 8738/1

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, Applicant submits herewith patents, publications or other information of which they are aware, listed on form PTO-1449, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose. Legible copies of all items listed on form PTO-1449 accompany this information disclosure statement.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

The person making this statement is the inventor who signs below.

Date: 7/27/01


By: Marc Schneiderman
Inventor of the above-identified
application

730919

INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

Docket Number (Optional)

8738/1

Application Number

09/838,806

Applicant(s)

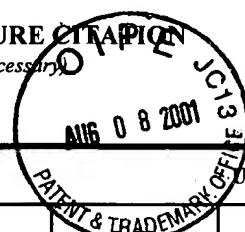
Marc Schneiderman

Filing Date

04/20/01

Group Art Unit

2183



RECEIVED
AUG 10 2001
Technology Center 2100

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,125,402	09/26/00 Nagarajayya, et al.	709	304	06/16/97
		6,065,040	05/16/00 Mima, et al.	709	202	12/03/97
		6,065,039	05/16/00 Paciorek	709	202	11/04/97
		6,055,562	04/25/00 Devarakonda, et al.	709	201	05/01/97
		6,016,393	01/18/00 White, et al.	395	683	02/10/97
		6,009,456	12/28/99 Frew, et al.	709	202	07/30/97
		6,009,455	12/28/99 Doyle	709	201	04/20/98
		5,790,431	08/04/98 Ahrens, Jr., et al.	364	569	11/20/95

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Fukada, et al., "A Hierarchical Mapping Scheme for Mobile Agent Systems," 6th IEEE Workshop on Future Trends of Distributed Computing Systems (FTDCS '97), Gray, "A Flexible and Secure Mobile-Agent System," A Thesis, Dartmouth College, June 30, 1997.
	Gray, "A Flexible and Secure Mobile-Agent System," Dr. Dobb's Journal, March 1997. Gray, et al. "Mobile Agents: The Next Generation in Distributed Computing," 2nd AIZU International Symposium on Parallel Algorithms/Architecture Synthesis (pAs '97) pp. 8-24.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) 8738/1	Application Number 09/838,806
		Applicant(s) Marc Schneiderman	
		Filing Date 04/20/01	Group Art Unit 2183
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
 <p>Jain, et al., "A Comparison of Mobile Agent and Client-Server Paradigms for Information Retrieval Tasks in Virtual Enterprises," Telcordia Technologies, Inc.</p> <p>Marnik, et al. "Design Issues in Mobile-Agent Programming Systems," IEEE Concurrency, July-September 1998, pp. 52-61.</p> <p>kiniry, et al. "A Hands-On Look at Java Mobile Agents," IEEE Internet Computing, July/August 1997, pp. 21-30.</p> <p>Lange, "Java Aglet Application Programming Interface (J-AAPI) White Paper - Draft 2," IBM Tokyo Research Laboratory, February 19, 1997.</p>			
<p>Mitsubishi Electric ITA, "Mobile Agent Computing," January 19, 1998.</p> <p>Puliafito, et al. "An Analytical Comparison of the Client-Server, Remote Evaluation and Mobile Agents Paradigms," Third International Symposium Mobile Agents, October, 1999.</p>			
<p>Ranganathan, et al., "Network-Aware Mobile Programs" 1997 USENIX Annual Technical Conference, Anaheim, California, January 6-10, 1997.</p> <p>Samaras, et al. "Mobile Agent Platforms for Web Databases: A Qualitative and Quantitative Assessment," Department of Computer Science, University of Cyprus.</p>			
<p>Sundaresan, et al. "Java Paradigms for Mobile Agent Facilities"</p> <p>Wong, et al. "Java-Based Mobile Agents," Communications of the ACM, Vol. 42. No. 3, March 1999, pp. 92-102.</p>			
EXAMINER		DATE CONSIDERED	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

RECEIVED
AUG 10 2001
Technology Center 2100